McGovern Medical School (MMS) Official Functions Guidelines  
Effective 6/1/2019

I. General

• The approval/disapproval of functions is independent of whether expenses are employee reimbursements or are direct-billed by vendor to the University.
• University Official Function forms should be routed and approved, in advance of the event and prior to invitations being distributed. Case-by-case requests with extenuating circumstances must be routed to OAFA. MMS utilizes the Prior Approval Form for Official Functions (OFF) for all event requests.
• If events are to be completely self-funded by UHealth employees or by other external sources, all other approvals, e.g., Permission to Serve Alcoholic Beverages, must still be obtained. The Permission to Serve Alcoholic Beverages form requires pre-approval by both the Dean and the COO/CFO.
• Development efforts are not included in these guidelines and will be considered separately on a case-by-case basis.
• Official Functions >$2,500 require pre-approvals by both the Dean and the COO/CFO.
• Departments are responsible for maintaining records of pre-approvals for all events.

II. Food Expenses Allowed

Functions that adhere to the frequency and per person limits indicated below are allowable with DMO prior approval. Any exceptions to the frequency and per person limits require both DMO and Dean’s level review and approval.

• Faculty recruitment or Non-UTH seminar speakers:
  - $15-Breakfast
  - $15-Lunch - for in-house/on-campus lunches (includes delivery fees)
  - $30-Lunch - for off-campus lunches of up to 4 in attendance, including applicant/speaker
  - $60-Dinner - maximum of 6 persons in attendance, including applicant/speaker

• Resident, Fellow or Postdoc recruitment:
  - $15-Breakfast
  - $15-Lunch - for in-house/on-campus lunches (includes delivery fees)
  - $30-Lunch - for off-campus lunches of up to 4 in attendance, including applicant
  - $30-Dinner - for groups of 5 or more
  - $50-Dinner - maximum of 4 persons in attendance, including applicant

• Graduation events for Residents or Fellows: $50 per person (includes food, venue, entertainment, decorations, etc.)  
  ★ This per person limit does not include graduation gifts, see below under Section III.

• Faculty Meetings - Food may be provided no more frequently than 1 time per month at a maximum of $10 per person.
• Lab Meetings - Food may be provided no more frequently than 1 time per month at a maximum of $10 per person.
• Journal Clubs - Food may be provided no more frequently than 1 time per month at a maximum of $10 per person.
• Thesis or Dissertation Defense Celebrations - Food may be provided at a maximum of $10 per person.
• Employee Appreciation Functions:  
  - Holiday event as specified by the University
  - Maximum of 2 other events per Fiscal Year at a maximum of $20 per person

III. Expenses May Be Allowed on a Case-by-Case basis

Functions indicated below require Dean’s level review and approval on a case-by-case basis. When submitting to our office for Dean’s level review and approval, please include the Prior Approval for Official Function form complete with DMO approval, and a detailed justification/moderate budget.

• Welcome/Farewell Functions on- or off-campus (faculty, staff, residents, postdocs, and students)
• Retirement Receptions - Request should include a moderate budget; please indicate the meal being served (lunch, reception, dinner, etc.)
• Departmental Retreats (on-campus or off-campus) - Justification should include a moderate budget, meal break-down and an agenda
• In-House Lunches for Committees or Working Groups - allowable only for extraordinary circumstances which must be specified in a justification memo
• Hosting meals while traveling on University business - Department must certify that no UTH attendees (except the function host) will request meal reimbursement on their Travel Expense Worksheet (TEW) for that particular meal: $15-Breakfast; $30-Lunch; $60-Dinner
• Graduation Gifts (e.g., for chief residents) - Justification should include a moderate budget; $75 is University gift maximum
• All other events not explicitly listed - Department must include a justification/moderate budget and all relevant function details